

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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COREY EASTWOOD, JESSE HENRY,  
GAURAV JASHNANI, CHRIS DWYER and  
DANIEL DWYER,

Plaintiffs,

-versus-

THE CITY OF NEW YORK, et al.,

**05 CV 9483 (RJS)(JCF)**

Defendants.

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PLAINTIFFS' OBJECTIONS, PURSUANT TO F.R.CIV.P. 72, TO MAGISTRATE  
JUDGE FRANCIS' APRIL 15, 2008, ORDER DISMISSING  
PLAINTIFF HENRY'S CLAIMS FOR EMOTIONAL DISTRESS

Plaintiffs were arrested in the vicinity of 49<sup>th</sup> and Broadway, New York, New York, during the 2004 Republican National Convention. They have brought actions pursuant to 42 U.S.C. § 1983, alleging that their First, Fourth and Fourteenth Amendment rights were violated.

**PROCEDURAL HISTORY**

On January 22, 2008, Assistant Corporation Counsel Curt P. Beck wrote Magistrate Judge James C. Francis, IV, requesting dismissal of the emotional distress damages claims of plaintiff Jesse Henry (attached as Exhibit A). On February 29, 2008, the undersigned opposed defendants' application, relying upon and attaching a brief which had been submitted on the same issue to this Court in response to a previous application in Abdell v. City of New York, 05 Civ. 8453, another RNC case. (Plaintiffs' opposition letter brief is attached as Exhibit B; the Abdell February 9, 2007, Reply Memorandum in Support of Plaintiffs' Objections, Pursuant to Rule 72, F.R.Civ.P., to Magistrate Judge Francis' Order Dismissing Claims for Mental and

Emotional Injury is attached as Exhibit C.)

On April 15, 2008, the defendants' application was granted (Magistrate Judge Francis' Order is attached as Exhibit D). Plaintiff now appeals from that decision.

### **STANDARD OF REVIEW**

Because the sanction imposed by the Magistrate Judge was dispositive of the plaintiff's mental and emotional distress claims, this Court must make a *de novo* determination of the issue. F.R.Civ.P. 72(b).

When a party brings a motion for discovery sanctions, the sanction chosen by the magistrate judge, rather than the sanction sought by the party, governs the determination of whether the decision is dispositive. 14 *Moore's Federal Practice* § 72.02[7][b] (2005); *accord* 12 Charles Alan Wright, Arthur R. Miller & Richard L. Marcus, *Federal Practice and Procedure* § 3068.2 (2005) ("The critical issue here is what sanction the magistrate judge actually imposes, rather than the one requested by the party seeking sanctions.") ... if a magistrate judge finds that a dispositive sanction is appropriate, Rule 72(b) governs. *See, e.g., Zises v. Dept. of Social Services*, 112 F.R.D. 223, 226 (E.D.N.Y. 1986).

Steele v. Costco Wholesale Corp., 2005 U.S. Dist. LEXIS 8348 at \*5 (E.D.N.Y. May 6, 2005).

### **ARGUMENT**

The objections now before the Court present the same issues as the Rule 72 motion decided by Judge Karas in another RNC case, Abdell, et al. v. City of New York, et al., 05 Civ. 8453 (RJS) (JCF). (In that case, Judge Karas denied plaintiffs' Rule 72 motion on September 25, 2007.) Plaintiff Henry incorporates by reference the arguments made by plaintiffs therein, as set forth in Exhibit C.

Plaintiff Henry emphasizes that they he does not claim any actual psychological injury or diagnosable mental condition caused by defendants' conduct; his mental suffering and emotional distress claims cover a period of limited duration, primarily occurring during the time of his

arrest and incarceration. Furthermore, plaintiff Henry will not offer any evidence at trial from a treating mental health provider or an expert.

In plaintiff's view, the reasoning in Kerman v. The City of New York, 374 F.3d 93, 125 (2d Cir. 2004), leads to the conclusion that a plaintiff need not produce treatment records in the circumstances presented here. Kerman held that, upon proof of a false imprisonment, a plaintiff was entitled to general damages for the "time lost by the plaintiff during the period of detention and any mental suffering or humiliation sustained in consequence of the arrest or restraint." Kerman, 374 F.3d at 130-31, quoting McCormick, Handbook on the Law of Damages, § 107 at 375-76. Because they are general damages, those damages need not be pleaded and proved, but rather "may be inferred from the circumstances of the arrest or imprisonment. . . ." Id. at 125. What that means is that in every false arrest and imprisonment case, plaintiffs have a right to recover damages for ordinary emotional distress, *whether they plead it or not*. Further, it follows that plaintiffs would have the right to describe that "mental suffering or humiliation sustained in consequence of the arrest" without waiving the psychotherapist-patient privilege attaching to records of treatment pre-dating the arrest and unrelated to it.

Since the prior briefing of this issue in Abdell, wherein plaintiffs relied upon Greenberg v. Smolka, 2006 U.S. Dist. LEXIS 24319 (S.D.N.Y. 2006), other decisions in this district have come to the same conclusion. In Kunstler v. The City of New York, 242 F.R.D. 261, 2007 U.S. Dist. LEXIS 35534 (S.D.N.Y. 2007) (Sweet, J.), plaintiffs resisted turning over psychological records concerning "an emotional injury that a well-adjusted person could be expected to suffer in like circumstances, a so called 'garden variety' distress claim," distinguishing such a claim from one for "a serious psychological injury." Id. at \*5. That is exactly the kind of emotional

injury plaintiffs herein are claiming. The Court, upholding Magistrate Judge Dolinger's Memorandum and Order, held that the defendants

had not established the justification for turning over sensitive psychological information. Judge Dolinger's nuanced and careful analysis of the requirements for waiver, particularly after the psychotherapist privilege enunciated in *Jaffe*, represents the appropriate view of the issue and the authorities. The reasoning and the determination of fairness set forth in *Hearn v. Rhay*, 68 F.R.D. 574, 581 (E.D. Wash. 1975), as cited by Judge Dolinger and the Court of Appeals for the Second Circuit in *United States v. Bilzerian*, 926 F.2d 1285, 1292 (2d Cir. 1991), is compelling.

Id. at \*6.

If this Court were to affirm Magistrate Judge Francis' decision dismissing plaintiff's mental and emotional distress damages claims, plaintiff would respectfully request certification of the issue to the Second Circuit. The case for certification is a strong one since, even if plaintiff was to prevail at trial, he would be entitled to appeal the elimination from his case of the emotional distress part of his damage claims. If the appeals court then ruled in his favor on the waiver issue, plaintiff would be entitled to a new trial. In light of the fact that the Court of Appeals will likely hear the issue now before this Court – either before trial or after – it would serve judicial economy and the efficient adjudication of the many RNC cases in which this issue has arisen, to have the Court of Appeals resolve the issue *before* trial.

Dated: April 28, 2008  
 New York, New York

Respectfully submitted,  
 /s/  
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